

UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

CARROLL DIANNE CLARK,
Plaintiff,

v.

CAROLYN W. COLVIN, Acting
Commissioner of Social Security,
Defendant.

Case No. CV 13-9355 JC

MEMORANDUM OPINION

I. SUMMARY

On January 3, 2014, plaintiff Carroll Dianne Clark (“plaintiff”), who then had counsel but is currently proceeding *pro se*, filed a Complaint seeking review of the Commissioner of Social Security’s denial of plaintiff’s application for benefits. The parties have consented to proceed before the undersigned United States Magistrate Judge.

This matter is before the Court on the parties’ cross motions for summary judgment, respectively (“Plaintiff’s Motion”)¹ and (“Defendant’s Motion”). The

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¹Plaintiff concurrently submitted copies of multiple medical records (“Plaintiff’s Exhibits” or “Plaintiff’s Ex.”).

1 Court has taken both motions under submission without oral argument. See Fed.
2 R. Civ. P. 78; L.R. 7-15; January 7, 2014 Case Management Order ¶ 5.

3 Based on the record as a whole and the applicable law, the decision of the
4 Commissioner is AFFIRMED. The findings of the Administrative Law Judge
5 (“ALJ”) are supported by substantial evidence and are free from material error.²

6 **II. BACKGROUND AND SUMMARY OF ADMINISTRATIVE** 7 **DECISION**

8 Based on plaintiff’s applications for Supplemental Security Income (“SSI”)
9 and Disability Insurance Benefits (“DIB”) filed on November 24, 2004, plaintiff
10 was found to be disabled beginning on May 1, 2004, due to Graves disease and
11 asthma. (Administrative Record (“AR”) 23, 25, 76, 145, 150, 308). The most
12 recent favorable medical decision which found plaintiff to be disabled (*i.e.*,
13 “comparison point decision” or “CPD”) was dated June 13, 2005. (AR 23, 308).
14 On April 7, 2011, it was determined that plaintiff was no longer disabled and that
15 plaintiff’s benefits would be terminated on June 1, 2011. (AR 23; see AR 70-71).
16 On April 18, 2012, plaintiff requested a hearing before an Administrative Law
17 Judge. (AR 110).

18 On September 13, 2012, the ALJ examined the medical record and heard
19 testimony from plaintiff (who was represented by counsel). (AR 48-67). On
20 February 20, 2013, the ALJ determined that plaintiff’s disability “ended on April
21 1, 2011” and plaintiff had not become disabled again through the date of the
22 decision. (AR 23-32). Specifically, the ALJ found that beginning on April 7,
23 2011: (1) plaintiff suffered from the following severe combination of
24 impairments: Graves disease, osteoarthritis of the left knee status post total knee
25 replacement, and status post gastric bypass surgery (AR 25, 26); (2) plaintiff’s

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27 ²The harmless error rule applies to the review of administrative decisions regarding
28 disability. See Molina v. Astrue, 674 F.3d 1104, 1115-22 (9th Cir. 2012) (discussing application
of harmless error standard in social security cases) (citations omitted).

1 impairments, considered singly or in combination, did not meet or medically equal
 2 a listed impairment (AR 25-26); (3) the impairments present at the time of the
 3 CPD decreased in medical severity to the point where plaintiff retained the
 4 residual functional capacity to perform light work (20 C.F.R. §§ 404.1567(b),
 5 416.967(b)) and was limited to no more than occasional balancing, stooping,
 6 crouching, crawling, kneeling, and climbing of ladders, rope, scaffolding, ramps or
 7 stairs (AR 26); (4) plaintiff could not perform her past relevant work (AR 31);
 8 (5) there are jobs that exist in significant numbers in the national economy that
 9 plaintiff could perform (AR 31-32); and (6) plaintiff's allegations regarding her
 10 limitations were partially not credible (AR 27).

11 The Appeals Council denied plaintiff's application for review. (AR 6).

12 **III. APPLICABLE LEGAL STANDARDS**

13 **A. Sequential Evaluation Process**

14 To qualify for disability benefits, a claimant must show that the claimant is
 15 unable "to engage in any substantial gainful activity by reason of any medically
 16 determinable physical or mental impairment which can be expected to result in
 17 death or which has lasted or can be expected to last for a continuous period of not
 18 less than 12 months." Molina v. Astrue, 674 F.3d 1104, 1110 (9th Cir. 2012)
 19 (quoting 42 U.S.C. § 423(d)(1)(A)) (internal quotation marks omitted).

20 Once a claimant is found disabled under the Social Security Act, a
 21 presumption of continuing disability arises. See Bellamy v. Secretary of Health &
 22 Human Services, 755 F.2d 1380, 1381 (9th Cir. 1985) (citation omitted). The
 23 Secretary may not terminate benefits unless substantial evidence demonstrates
 24 sufficient medical improvement in a claimant's impairment that the claimant
 25 becomes able to engage in substantial gainful activity. See 42 U.S.C.
 26 § 1382c(a)(4)(A); 42 U.S.C. § 423(f); 20 C.F.R. §§ 404.1594, 416.994; Murray v.
 27 Heckler, 722 F.2d 499, 500 (9th Cir. 1983).

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1 In assessing whether a claimant continues to be disabled, an ALJ must
 2 follow an eight-step sequential evaluation process for DIB claims and a seven-step
 3 process for SSI claims:³

- 4 (1) (DIB cases only) Is the claimant presently engaged in substantial
 5 gainful activity? If so, and any applicable trial work period has been
 6 completed, the claimant's disability ends. If not, proceed to step two.
- 7 (2) Does the claimant have an impairment, or combination of
 8 impairments, which meets or equals an impairment listed in 20
 9 C.F.R. Part 404, Subpart P, Appendix 1? If so, the claimant's
 10 disability continues. If not, proceed to step three.
- 11 (3) Has there been medical improvement as shown by a decrease in
 12 the medical severity of the impairment(s) present at the time of
 13 the CPD?⁴ If so, proceed to step four. If not, proceed to step
 14 five.
- 15 (4) Was any medical improvement related to the ability to work
 16 (*i.e.*, has there been an increase in the claimant's residual
 17 functional capacity)? If so, proceed to step six. If not, proceed
 18 to step five.
- 19 (5) Is there an exception to medical improvement? If not, the
 20 claimant's disability continues. If an exception from the first
 21 group of exceptions to medical improvement applies (*i.e.*,

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 23 ³Since the sequential evaluation process for DIB and SSI claims are materially the same
 24 except as to the first step (which governs DIB claims only), the Court describes only the DIB
 process.

25 ⁴"Medical improvement" is defined as "any decrease in the medical severity of [a
 26 claimant's] impairment(s) which was present at the time of the most recent favorable medical
 27 decision that [the claimant was] disabled or continued to be disabled" (*i.e.*, the CPD). 20 C.F.R.
 28 §§ 404.1594(b)(1), 416.994(b)(1)(i). "A determination that there has been a decrease in medical
 severity must be based on changes (improvement) in the symptoms, signs and/or laboratory
 findings associated with [a claimant's] impairment(s)." Id.

substantial evidence shows that the claimant has benefitted from “advances in medical or vocational therapy or technology” or “undergone vocational therapy” if either is “related to [the] ability to work”), see 20 C.F.R. §§ 404.1594(d) & 416.994(b)(3), proceed to step six. If an exception from the second group⁵ applies (*i.e.*, disability determination was fraudulently obtained, claimant was uncooperative, unable to be found, or failed to follow prescribed treatment), see 20 C.F.R. §§ 404.1594(e) & 416.994(b)(4), the claimant’s disability ends.

(6) Is the claimant’s current combination of impairments severe? If so, proceed to step seven. If not, the claimant’s disability ends.

(7) Does the claimant possess the residual functional capacity to perform claimant’s past relevant work? If so, the claimant’s disability ends. If not, proceed to step eight.

(8) Does the claimant’s residual functional capacity, when considered with the claimant’s age, education, and work experience, allow the claimant to do other work? If so, the claimant’s disability ends. If not, the claimant’s disability continues.

20 C.F.R. §§ 404.1594(f), 416.994(b)(5).

Although the claimant retains the burden to prove disability, the Commissioner has the burden to produce evidence to meet or rebut the presumption of continuing disability. Bellamy, 755 F.2d at 1381 (citation omitted).

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⁵The second group of exceptions may be considered at any point in the sequential evaluation process. 20 C.F.R. §§ 404.1594(b)(5), 416.994(b)(5)(iv).

1 **B. Standard of Review**

2 Pursuant to 42 U.S.C. section 405(g), a court may set aside a denial of
3 benefits only if it is not supported by substantial evidence or if it is based on legal
4 error. Robbins v. Social Security Administration, 466 F.3d 880, 882 (9th Cir.
5 2006) (citing Flaten v. Secretary of Health & Human Services, 44 F.3d 1453, 1457
6 (9th Cir. 1995)). Substantial evidence is “such relevant evidence as a reasonable
7 mind might accept as adequate to support a conclusion.” Richardson v. Perales,
8 402 U.S. 389, 401 (1971) (citations and quotations omitted). It is more than a
9 mere scintilla but less than a preponderance. Robbins, 466 F.3d at 882 (citing
10 Young v. Sullivan, 911 F.2d 180, 183 (9th Cir. 1990)).

11 To determine whether substantial evidence supports a finding, a court must
12 “consider the record as a whole, weighing both evidence that supports and
13 evidence that detracts from the [Commissioner’s] conclusion.” Aukland v.
14 Massanari, 257 F.3d 1033, 1035 (9th Cir. 2001) (quoting Penny v. Sullivan, 2 F.3d
15 953, 956 (9th Cir. 1993)). If the evidence can reasonably support either affirming
16 or reversing the ALJ’s conclusion, a court may not substitute its judgment for that
17 of the ALJ. Robbins, 466 F.3d at 882 (citing Flaten, 44 F.3d at 1457).

18 **IV. DISCUSSION⁶**

19 **A. A Remand for Evaluation of New Evidence Is Not Warranted**

20 Plaintiff submitted the following documents to the Court: (1) an undated,
21 handwritten “List of Medications” (Plaintiff’s Ex. A); (2) a “Thyroid Disorder
22 Medical Assessment Form” dated April 8, 2013, prepared by plaintiff’s treating
23 physician, Dr. Michael Popkin (Plaintiff’s Ex. B); (3) a “Listing § 1.02A - Major
24 Dysfunction of a Weight Bearing Joint” form dated April 8, 2013, also prepared
25 by Dr. Popkin (Plaintiff’s Ex. C); (4) the operative report for plaintiff’s May 15,
26 2011 left total knee replacement (Plaintiff’s Ex. D); (5) the operative report for

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28 ⁶The Court liberally construes Plaintiff’s Motion to raise the arguments addressed herein.

1 plaintiff's December 17, 2013 left right knee replacement (Plaintiff's Ex. E);
2 (6) the operative report for plaintiff's June 26, 2014 procedure to address "stiff
3 right knee following total knee replacement" (Plaintiff's Ex. F); (7) 2014 medical
4 records of physical therapy on plaintiff's right knee (Plaintiff's Ex. G); and (8) a
5 public transportation fare debit card (Plaintiff's Ex. H). Plaintiff appears to
6 suggest that a remand is required to permit consideration of such evidence.
7 (Plaintiff's Motion at 3; Plaintiff's Exs. A-H). The Court disagrees.

8 First, Exhibit D is a duplicate of a medical record that the ALJ already
9 considered. (AR 27, 348-52). To the extent plaintiff suggests that such evidence
10 reflects more significant limitations than identified in the ALJ's residual
11 functional capacity assessment for plaintiff (Plaintiff's Motion at 4-5), the Court
12 will not second-guess the ALJ's reasonable determination otherwise. See
13 Robbins, 466 F.3d at 882 (citation omitted).

14 Second, it appears that plaintiff submitted Exhibits A, E, F, G, and H for the
15 first time to this Court. A district court may remand a case in light of new
16 evidence that was not before the ALJ or the Appeals Council if the plaintiff
17 demonstrates that: (1) "the new evidence is material to a disability
18 determination;" and (2) the "claimant has shown good cause for having failed to
19 present the new evidence to the ALJ earlier." Mayes v. Massanari, 276 F.3d 453,
20 462 (9th Cir. 2001) (citing 42 U.S.C. § 405(g)); Booz v. Secretary of Health &
21 Human Services, 734 F.2d 1378, 1380-81 (9th Cir. 1984) (new evidence material
22 if there is a "reasonable possibility" it would have changed ALJ's decision).
23 Plaintiff does not make such showings here. For example, Exhibit A is an
24 undated, handwritten list of medications, Exhibits E, F, and G relate to plaintiff's
25 right knee replacement surgery in December 2013, and Exhibit H is simply a
26 public transportation fare debit card. Plaintiff fails to show a reasonable
27 possibility that such evidence relates at all to plaintiff's condition during the

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1 relevant time period (*i.e.*, between April 7, 2011, when plaintiff's benefits were
2 terminated, and February 20, 2013, when the ALJ issued the decision).

3 Finally, Exhibits B and C, submitted for the first time to the Appeals
4 Council, would not undermine the ALJ's decision. See generally Brewes v.
5 Commissioner of Social Security Administration, 682 F.3d 1157, 1163 (9th Cir.
6 2012) (“[W]hen the Appeals Council considers new evidence in deciding whether
7 to review a decision of the ALJ, that evidence becomes part of the administrative
8 record, which the district court must consider when reviewing the Commissioner's
9 final decision for substantial evidence.”); see also Taylor v. Commissioner of
10 Social Security Administration, 659 F.3d 1228, 1231 (9th Cir. 2011) (courts may
11 consider evidence presented for the first time to the Appeals Council “to determine
12 whether, in light of the record as a whole, the ALJ's decision was supported by
13 substantial evidence and was free of legal error”) (citation omitted). Neither
14 exhibit identifies plaintiff at all. (AR 554, 563). In addition, any medical opinions
15 in the exhibits are expressed purely in a check-the-box format. (AR 563-66, 554-
16 55). Neither provides an explanation of specific clinical findings (*i.e.*, results of
17 objective testing or a physical examination) that support any significant limitations
18 beyond those already accounted for in the ALJ's residual functional capacity
19 assessment for plaintiff. Moreover, as the ALJ noted, Dr. Popkin's treatment
20 records for plaintiff overall reflect mostly routine visits for medication refills (AR
21 30, 466, 468-71, 615-16, 618-19), and few, if any, “substantial clinical findings.”
22 (AR 30; see AR 472-74 [unremarkable pre-operation physical examination], AR
23 495-525, 530, 533-42, 552-53, 575-77, 632-68 [general lab reports and unrelated
24 testing], AR 175-94, 526-29, 543-53, 567-74, 581-614, 621-31, 669-73 [records
25 related to period before plaintiff's benefits were terminated]). The ALJ would be
26 entitled to reject the opinions expressed in the two exhibits on these grounds
27 alone. See, e.g., Crane v. Shalala, 76 F.3d 251, 253 (9th Cir. 1996) (“ALJ []
28 permissibly rejected [medical evaluations] because they were check-off reports

that did not contain any explanation of the bases of their conclusions.”); see De Guzman v. Astrue, 343 Fed. Appx. 201, 209 (9th Cir. 2009) (ALJ “is free to reject ‘check-off reports that d[o] not contain any explanation of the bases of their conclusions.’”) (citing id.); Murray v. Heckler, 722 F.2d 499, 501 (9th Cir. 1983) (expressing preference for individualized medical opinions over check-off reports); see also Connett v. Barnhart, 340 F.3d 871, 875 (9th Cir. 2003) (treating physician’s opinion properly rejected where physician’s progress notes “provide[d] no basis for the functional restrictions he opined should be imposed on [the claimant]”).

Accordingly, plaintiff is not entitled to a reversal or remand on this basis.

B. The ALJ’s Findings at Step Two Are Free of Material Error

1. Pertinent Law

At step two of the above-described sequential evaluation process, the ALJ must determine whether a claimant has an impairment or combination of impairments that meets or equals a condition outlined in the listing. See 20 C.F.R. §§ 404.1594(f)(2), 416.994(b)(5)(i). An impairment matches a listing if it meets all of the specified medical criteria. Sullivan v. Zebley, 493 U.S. 521, 530 (1990); Tackett v. Apfel, 180 F.3d 1094, 1098 (9th Cir. 1999). An impairment that manifests only some of the criteria, no matter how severely, does not qualify. Sullivan, 493 U.S. at 530; Tackett, 180 F.3d at 1099. An unlisted impairment or combination of impairments is equivalent to a listed impairment if medical findings equal in severity to all of the criteria for the one most similar listed impairment are present. Sullivan, 493 U.S. at 531. A determination of medical equivalence must be based on objective medical evidence only. See Lewis v. Apfel, 236 F.3d 503, 514 (9th Cir. 2001) (citation omitted).

Although a claimant bears the burden of proving that she has an impairment or combination of impairments that meets or equals the criteria of a listed impairment, an ALJ must still adequately discuss and evaluate the evidence before

1 concluding that a claimant's impairments fail to meet or equal a listing. Marcia v.
 2 Sullivan, 900 F.2d 172, 176 (9th Cir. 1990). Remand is appropriate where a
 3 plaintiff demonstrates that the ALJ failed adequately to consider a listing that
 4 plausibly applies to the plaintiff's case. See Lewis, 236 F.3d at 514.

5 In order to be considered presumptively disabled under Listing 1.02A, a
 6 claimant must demonstrate that (1) she has major dysfunction of a major
 7 peripheral weight-bearing joint (*i.e.*, hip, knee, or ankle) characterized by gross
 8 anatomical deformity and chronic joint pain and stiffness, with signs of limitation
 9 of motion or other abnormal motion of the affected joint; (2) medical imaging
 10 reflects narrowing, destruction, or ankylosis of the affected joint; and (3) the
 11 dysfunction results in an "inability to ambulate effectively, as defined in
 12 1.00B2b." 20 C.F.R. Part 404, Subpart P, Appendix 1, § 1.02(A); see Hamilton v.
 13 Astrue, 2010 WL 3748744, at *5 (C.D. Cal. Sep. 22, 2010).

14 **2. Analysis**

15 Plaintiff appears to argue that the ALJ failed properly to consider
 16 (1) whether plaintiff's impairments meet Listing 1.02A; and (2) whether plaintiff's
 17 Graves disease equals a listing. (Plaintiff's Motion at 4-5). A reversal or remand
 18 is not warranted on either basis.

19 For the reasons discussed above, Dr. Popkin's conclusory and unsupported
 20 opinions in the "Listing § 1.02A - Major Dysfunction of a Weight Bearing Joint"
 21 form (Plaintiff's Ex. C; AR 554-55) are insufficient to show that the listing
 22 plausibly applies to plaintiff's case. The same is true for Dr. Popkin's specific
 23 check-the-box conclusory opinion therein that plaintiff's impairments are
 24 "medically equivalent" to Listing § 1.02A. Non-medical opinions that plaintiff is
 25 disabled or unable to work are not binding on the Commissioner. See Boardman
 26 v. Astrue, 286 Fed. Appx. 397, 399 (9th Cir. 2008) ("[The] determination of a
 27 claimant's ultimate disability is reserved to the Commissioner . . . a physician's
 28 opinion on the matter is not entitled to special significance."); Ukolov v. Barnhart,

1 420 F.3d 1002, 1004 (9th Cir. 2005) (“Although a treating physician’s opinion is
 2 generally afforded the greatest weight in disability cases, it is not binding on an
 3 ALJ with respect to the existence of an impairment or the ultimate determination
 4 of disability.”) (citation omitted); 20 C.F.R. § 404.1527(d)(1) (“A statement by a
 5 medical source that you are ‘disabled’ or ‘unable to work’ does not mean that we
 6 will determine that you are disabled.”); 20 C.F.R. § 416.927(d)(1) (same). In
 7 addition, as noted above, the ALJ considered the operative report from plaintiff’s
 8 May 15, 2011 left total knee replacement (Plaintiff’s Ex. D) and reasonably
 9 concluded that it did not reflect a disability that lasted for more than twelve
 10 months, much less a listing-level impairment. (AR 27-28) (citing Exhibits 9F at
 11 17-21 [AR 348-52], 10F at 5 [AR 365]). To the extent plaintiff suggests that such
 12 evidence reflects a “major dysfunction of a weight bearing joint” or otherwise
 13 meets Listing 1.02A (Plaintiff’s Motion at 4-5), the Court will not second-guess
 14 the ALJ’s reasonable determination to the contrary.

15 In addition, plaintiff does not present a plausible theory as to how her
 16 Graves disease would equal a listed impairment. As the ALJ pointed out, in
 17 March 2011 plaintiff was noted as doing “quite well” on her thyroid medication,
 18 and in August 2011 plaintiff told a state-agency examining physician that her
 19 thyroid function tests “have been within the normal range.” (AR 26, 31, 342,
 20 379). Also, for the reasons discussed above, Dr. Popkin’s conclusory, check-box
 21 opinions in the April 8, 2013 Thyroid Disorder Medical Assessment Form
 22 (Plaintiff’s Ex. B [AR 563-66]) are insufficient to demonstrate otherwise.

23 Accordingly, plaintiff is not entitled to a reversal or remand on this basis.

24 **C. The ALJ Properly Evaluated the Medical Evidence**

25 First, plaintiff’s conclusory assertion that the ALJ “[did not] provide
 26 objective evidence that [plaintiff’s] conditions had improved” (Plaintiff’s Motion
 27 at 11) is belied by the record. For example, as the ALJ noted, although plaintiff
 28 was initially found disabled due to Graves disease, the record reflects that in

1 March 2011 plaintiff's thyroid problem was being successfully treated by
2 medication and by August 2011 plaintiff reported that her thyroid function tests
3 were "within the normal range." (AR 26, 31, 342, 379). Moreover, a state-agency
4 examining physician opined, in pertinent part, that plaintiff's "thyroid condition
5 does not provide the basis for any functional limitation" and that plaintiff had no
6 functional limitations beyond those included in the ALJ's Residual functional
7 capacity assessment for plaintiff. (AR 26, 383-84). The opinion of the state-
8 agency examining physician was based on the doctor's independent examination
9 of plaintiff, and thus, without more, constituted substantial evidence supporting
10 the ALJ's determination that plaintiff's medical condition had improved. See,
11 e.g., Tonapetyan v. Halter, 242 F.3d 1144, 1149 (9th Cir. 2001) (consultative
12 examiner's opinion based on independent examination of claimant constituted
13 substantial evidence supporting ALJ's findings) (citations omitted).

14 Second, the record also belies plaintiff's assertion that the ALJ "total[l]y
15 ignored" the side effects (*i.e.*, "drowsiness") of plaintiff's prescription medication
16 (Plaintiff's Motion at 8, 12). As the ALJ suggested, while Dr. Popkin's treating
17 records reflect that plaintiff was prescribed several medications, they do not
18 appear to document any significant side effects. (AR 30, 466, 468-74). In any
19 event, to the extent the ALJ erred in this respect, any such error would have been
20 harmless. In short, plaintiff points to no evidence in the record which plausibly
21 suggests that plaintiff experienced medication side effects which caused functional
22 limitations beyond those already accounted for in the ALJ's residual functional
23 capacity assessment.

24 Third, plaintiff's conclusory assertion that the ALJ "entirely ignor[ed]"
25 plaintiff's treating physician (Plaintiff's Motion at 8, 12-13) is belied by the ALJ's
26 decision which addresses Dr. Popkin's medical records for plaintiff and concludes
27 that the records reflected mostly routine visits for medication refills and otherwise

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1 generally unremarkable medical findings. (AR 30, 175-94, 466, 468-74, 495-530,
2 533-53, 567-77, 581-616, 618-19, 621-73).

3 Fourth, plaintiff's assertion that the ALJ "did not get specific medical
4 evidence about [plaintiff's] impairments and how [plaintiff's] impairments affect
5 [plaintiff's] day-to-day functioning" (Plaintiff's Motion at 11) is also belied by the
6 record which reflects that plaintiff attended multiple consultative examinations.
7 (AR 379-88, 389-94, 414-21).

8 Finally, with respect to plaintiff's general allegations that, among other
9 things, the ALJ "ignored an important medical condition," "ignore[d] all [of
10 plaintiff's] medical allegations," and failed to give "proper weight" to plaintiff's
11 treating physician, and that the state-agency examining doctors were "biased"
12 (Plaintiff's Motion at 7, 12-13, 14), any claim of error based on such conclusory
13 pleading does not merit relief. Cf. Carmickle v. Commissioner, Social Security
14 Administration, 533 F.3d 1155, 1161 n.2 (9th Cir. 2008) (courts "ordinarily will
15 not consider matters on appeal that are not specifically and distinctly argued in an
16 appellant's opening brief") (citation and internal quotation marks omitted).

17 Accordingly, plaintiff is not entitled to a reversal or remand on this basis.

18 **D. The ALJ Properly Evaluated Plaintiff's Credibility**

19 **1. Pertinent Law**

20 An ALJ is not required to believe every allegation of disabling pain or other
21 non-exertional impairment. Orn v. Astrue, 495 F.3d 625, 635 (9th Cir. 2007)
22 (citing Fair v. Bowen, 885 F.2d 597, 603 (9th Cir. 1989)). "To determine whether
23 a claimant's testimony regarding subjective pain or symptoms is credible, an ALJ
24 must engage in a two-step analysis." Lingenfelter v. Astrue, 504 F.3d 1028,
25 1035-36 (9th Cir. 2007). "First, the ALJ must determine whether the claimant has
26 presented objective medical evidence of an underlying impairment 'which could
27 reasonably be expected to produce the pain or other symptoms alleged.'" Id.
28 (quoting Bunnell v. Sullivan, 947 F.2d 341, 344 (9th Cir. 1991) (en banc)).

1 “Second, if the claimant meets this first test, and there is no evidence of
2 malingering, ‘the ALJ can reject the claimant’s testimony about the severity of her
3 symptoms only by offering specific, clear and convincing reasons for doing so.’”
4 Id. at 1036 (citations omitted). “In making a credibility determination, the ALJ
5 ‘must specifically identify what testimony is credible and what testimony
6 undermines the claimant’s complaints.’” Greger v. Barnhart, 464 F.3d 968, 972
7 (9th Cir. 2006) (citation omitted). The ALJ’s credibility findings “must be
8 sufficiently specific to allow a reviewing court to conclude the ALJ rejected the
9 claimant’s testimony on permissible grounds and did not arbitrarily discredit the
10 claimant’s testimony.” Moisa v. Barnhart, 367 F.3d 882, 885 (9th Cir. 2004).

11 To find a claimant not credible, an ALJ must rely on reasons unrelated to
12 the subjective testimony (*e.g.*, reputation for dishonesty), internal contradictions in
13 the claimant’s statements and testimony, or conflicts between the claimant’s
14 testimony and the claimant’s conduct (*e.g.*, daily activities, work record,
15 unexplained or inadequately explained failure to seek treatment or to follow
16 prescribed course of treatment). Orn, 495 F.3d at 636; Robbins, 466 F.3d at 883;
17 Burch v. Barnhart, 400 F.3d 676, 680-81 (9th Cir. 2005). Although an ALJ may
18 not discredit a claimant’s testimony solely because it is not substantiated by
19 objective medical evidence, the lack of medical evidence is a factor that the ALJ
20 may consider in assessing credibility. Burch, 400 F.3d at 681.

21 Evaluation of credibility and resolution of conflicts in the testimony are
22 solely functions of the Commissioner. Greger, 464 F.3d at 972. Accordingly, if
23 the ALJ’s interpretation of the claimant’s testimony is reasonable and is supported
24 by substantial evidence, it is not the court’s role to “second-guess” it. Rollins v.
25 Massanari, 261 F.3d 853, 857 (9th Cir. 2001) (citation omitted).

26 2. Analysis

27 First, the ALJ properly discredited plaintiff’s subjective complaints due to
28 internal conflicts within plaintiff’s own statements and testimony. See Light v.

1 Social Security Administration, 119 F.3d 789, 792 (9th Cir.), as amended (1997)
2 (in weighing plaintiff's credibility, ALJ may consider "inconsistencies either in
3 [plaintiff's] testimony or between his testimony and his conduct"). For example,
4 as the ALJ noted, plaintiff stated in her February 2011 Function Report that she
5 would spend most of her social time alone, speak with family and friends only
6 when she is "up to it," and go out only "now and again." (AR 191). In contrast, in
7 September 2011, plaintiff told Dr. Stephen Erhart, a state-agency examining
8 psychiatrist, that during the day she would engage in "active socializing." (AR
9 391). Only two months later (*i.e.*, November 2011), however, plaintiff told Dr.
10 Ellen Sherrill, a state-agency examining psychologist, that she "[did] not spend
11 much time with her family or others in general." (AR 415).

12 Similarly, plaintiff stated in her Function Report that she could only
13 "prepare [some] simple meals for [herself]" and could not do household chores
14 very often, but had a homemaker who would cook and do chores for her, and that
15 she "sometimes" needed help with bathing. (AR 188-89). Plaintiff told Dr.
16 Michael S. Wallack, a state-agency examining physician, that she only did "light
17 cooking and cleaning." (AR 380). Nonetheless, plaintiff told Dr. Erhart that she
18 was able to "oversee[] her household," perform all of her own activities of daily
19 living, and "prepare[] all meals for her child" (AR 391), and told Dr. Sherrill that
20 she "[was] able to perform all basic household chores unassisted," and that she
21 could run errands and go shopping "alone," "cook meals without help," and
22 "perform all self-care activities independently, including dressing and bathing
23 herself." (AR 416).

24 As the ALJ also noted, plaintiff stated in her Function Report that she did
25 not drive due to pain and muscle weakness and because she lacked a driver's
26 license. (AR 30, 190). Plaintiff told Dr. Wallack that she did not drive (AR 380),
27 and told Dr. Erhart that she had never held a driver's license for "philosophical"

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1 reasons (AR 391). In contrast, plaintiff told Dr. Sherrill that her “basic means of
2 transportation” was driving a car. (AR 416).

3 Second, the ALJ properly discounted the credibility of plaintiff’s subjective
4 complaints as inconsistent with plaintiff’s daily activities and other conduct. See
5 Thomas v. Barnhart, 278 F.3d 947, 958-59 (9th Cir. 2002) (inconsistency between
6 the claimant’s testimony and the claimant’s conduct supported rejection of the
7 claimant’s credibility). For example, as the ALJ noted, contrary to plaintiff’s
8 allegations of disabling physical and mental symptoms, plaintiff admitted, among
9 other things, that she could actively socialize, that she enjoyed multiple daily
10 interests including reading, writing, watching television, art, using the computer,
11 and “keeping up with worldly things,” and told Drs. Erhart and Sherrill that she
12 could engage in the multiple daily activities noted above. (AR 31, 191, 391, 416).

13 While a claimant “does not need to be ‘utterly incapacitated’ in order to be
14 disabled,” Vertigan v. Halter, 260 F.3d 1044, 1050 (9th Cir. 2001) (citation
15 omitted), this does not mean, as plaintiff suggests (Plaintiff’s Motion at 9-10) that
16 an ALJ must find that a claimant’s daily activities demonstrate an ability to engage
17 in full-time work (*i.e.*, eight hours a day, five days a week) in order to discount the
18 credibility of conflicting subjective symptom testimony. See Molina, 674 F.3d at
19 1113 (citations omitted). Here, even though plaintiff stated that she had difficulty
20 functioning, the ALJ properly discounted the credibility of plaintiff’s subjective-
21 symptom testimony to the extent plaintiff’s daily activities were inconsistent with
22 a “totally debilitating impairment.” Id. (“[An] ALJ may discredit a claimant’s
23 testimony when the claimant reports participation in everyday activities indicating
24 capacities that are transferable to a work setting . . . [e]ven where those activities
25 suggest some difficulty functioning. . . .”) (citations omitted); see, e.g., Curry v.
26 Sullivan, 925 F.2d 1127, 1130 (9th Cir. 1990) (finding that the claimant’s ability
27 to “take care of her personal needs, prepare easy meals, do light housework and
28 shop for some groceries . . . may be seen as inconsistent with the presence of a

1 condition which would preclude all work activity”) (citation omitted). To the
2 extent plaintiff suggests that “the bulk of the evidence” reflects “far greater . . .
3 functional limitations” (Plaintiff’s Motion at 10), the Court declines to
4 second-guess the ALJ’s reasonable determination to the contrary, even if the
5 evidence could give rise to inferences more favorable to plaintiff. See Rollins,
6 261 F.3d at 857 (citation omitted).

7 Third, the ALJ properly discounted plaintiff’s credibility, in part, based on
8 plaintiff’s initial refusal to attend consultative examinations. (AR 27, 308); see
9 Thomas, 278 F.3d at 959 (ALJ may discount credibility where claimant fails to
10 cooperate during examinations) (citation omitted); see generally 20 C.F.R.
11 §§ 404.1594(e)(2), 416.994(b)(4)(ii) (claimant may be found “no longer disabled”
12 without evidence of medical improvement if claimant fails, without good cause, to
13 submit to physical or mental examination).

14 Finally, the ALJ properly discredited plaintiff’s subjective complaints due,
15 in part, to the absence of supporting objective medical evidence. See Burch, 400
16 F.3d at 681. For example, as the ALJ noted, only three weeks after plaintiff
17 underwent a left total knee replacement in May 2011, plaintiff stated that she was
18 “doing quite well,” that she needed crutches to walk only for stability and only
19 while outside of the house. (AR 348-53, 365). In addition, in August 2011
20 plaintiff told Dr. Wallack that her left knee replacement had been “successful.”
21 (AR 380). Also, during a gastric bypass pre-operative examination, Dr. Popkin
22 noted plaintiff’s sensory and motor exams as being “normal,” and noted that
23 plaintiff’s “gait [was] normal” and that plaintiff was “alert and oriented x3.” (AR
24 30, 473). As discussed above, in March 2011 plaintiff was noted as doing “quite
25 well” on her thyroid medication, and in August 2011 plaintiff told Dr. Wallack
26 that “her thyroid function tests [had] been within the normal range.” (AR 26, 31,
27 342, 379). As the ALJ also noted, Dr. Sherrill opined that plaintiff’s purported
28 memory loss “was not apparent during the [psychological] evaluation” and that

1 plaintiff “[did] not present with any significant symptoms of a work-related
2 functional limitation that [could] be attributed to a Major Mental Disorder.” (AR
3 418).

4 Accordingly, plaintiff is not entitled to a reversal or remand on this basis.

5 **E. Plaintiff Has Not Made a Colorable Due Process Claim That**
6 **Would Require a Remand**

7 While only “final” Social Security decisions are generally subject to review
8 in federal court, certain discretionary decisions in a Social Security case may be
9 reviewable if a plaintiff makes a “colorable constitutional claim” that an
10 Administration decision violated the plaintiff’s “due process right either to a
11 meaningful opportunity to be heard or to seek reconsideration of an adverse
12 benefits determination.” See Dexter v. Colvin, 731 F.3d 977, 980 (9th Cir. 2013)
13 (citations and quotation marks omitted). Plaintiff has not made a colorable
14 constitutional claim here.

15 First, the record belies plaintiff’s conclusory assertions that her “testimony
16 was almost none existing [sic]” and that her attorney essentially was not permitted
17 to ask plaintiff questions about “any relevant subjects.” (Plaintiff’s Motion at 7).
18 The record reflects that during the administrative hearing, which lasted twenty
19 minutes, plaintiff testified extensively in response to questioning by the ALJ
20 regarding multiple issues related to her case, plaintiff’s attorney was expressly
21 given an opportunity to ask questions, and at the end of the hearing the ALJ also
22 asked plaintiff if she had “[a]ny questions.” (AR 50-66).

23 Second, to the extent plaintiff contends that the ALJ failed to consider
24 competent lay witness evidence (Plaintiff’s Motion at 10), plaintiff’s argument
25 lacks merit. When the ALJ asked if plaintiff had any questions plaintiff said
26 nothing about an unnamed lay “witness” that she now claims was improperly not
27 called at the hearing. (AR 66). Plaintiff points to nothing else in the record which

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1 supports such an assertion, much less suggests that the ALJ in any way improperly
2 prevented the witness from testifying.

3 Finally, with respect to plaintiff's general allegations that the ALJ "did not
4 include all the facts in [plaintiff's] case," "cheated [plaintiff] out of a fair decision
5 on [her] claim," "refuse[d] to apply social Security law and regulations in
6 rendering [the] decision," "ignored established law," and "disregarded [plaintiff's]
7 testimony and bullied [her] attorney," and that the Social Security Administration
8 engages in "a pattern of deliberate acts engineered to avoid approving meritorious
9 claims and to support pre-ordained unfavorable decisions" (Plaintiff's Motion at
10 8-11), any claim of error based on such conclusory pleading does not merit relief.

11 Accordingly, a remand or reversal on this basis is not warranted.

12 **V. CONCLUSION**

13 For the foregoing reasons, the decision of the Commissioner of Social
14 Security is affirmed.

15 LET JUDGMENT BE ENTERED ACCORDINGLY.

16 DATED: February 9, 2015

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18 /s/

19 Honorable Jacqueline Chooljian
20 UNITED STATES MAGISTRATE JUDGE
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